

Spring 2017

Ethics in Action Guest Speaker Series

Tips on Effective Writing: A Platform for Conversation Starters

Mr. Erik Bakke, Educator, Menlo College

Tuesday, Jan 17 - 11:10am, 12:40pm (Brawner 443)

Wed, Jan 18 – 6:10pm (Brawner 476)



Mr. Bakke is the Writing Center & Intensive English Program Director at Menlo College in Atherton, California. With expertise in crafting, fine-tuning, and editing the written word, Erik offers a unique “Conversation Starter” workshop. Students examine how the expression of their ideas and critical thinking in Business Ethics can be developed and improved. Using actual homework assignments, we collectively practice editing skills. Students then apply these skills to proofread and edit their current homework assignment.

Who do you want to be In the World?

Dr. Thomas Plante, Author, Professor, Psychotherapist

Wed, January 25 – 6:10 pm/Great Room (El Camino Building)



Dr. Thomas Plante is a Licensed Psychologist and Professor in the Bay Area. He is an honored scholar, noted for his academic/practitioner contributions globally, and selected as an intellectual of our time by TedX. Dr. Plante is the author of the book *Do the Right Thing: Living Ethically in an Unethical World*. He has been featured in numerous media outlets including *Time Magazine*, *CNN*, *NBC Nightly News*, *the PBS News Hour*, *New York Times*, *USA Today*, *British Broadcasting Company*, *National Public Radio*, among many others. Dr. Plante has evaluated or treated more than 750 priests and applicants to the priesthood/diaconate, serving as a consultant for a number of Church dioceses and religious orders. *Time Magazine* referred to him as one of “three leading (American) Catholics.” His hobbies include running and managing a small home vineyard, where he and his family grow syrah grapes for winemaking under the *TLZ Plante Family Vineyard* label.

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Anne Stromberg, Employment Law, Litigation, Neutral Investigations Attorney

Wed Feb 15 – 6:10pm (Brawner 476)



Anne Stromberg has 25 years of experience representing employers, managers and supervisors in employment law matters. Her practice combines preventive counseling with litigation defense. Anne is an experienced litigator, having defended cases involving the full spectrum of employment-related claims including discrimination, harassment, retaliation, whistleblower, trade secrets and unfair competition, wrongful discharge, wage and hour individual and class actions, and Private Attorneys General Act (PAGA). She defends clients in both state and federal courts, as well as before state and federal administrative agencies. She has also successfully argued cases before the Ninth Circuit Court of Appeals and California appellate courts.

Paula Kutansky-Brown, Associate General Counsel, NIO (formerly NEXTEV)

Thurs, Feb 16 - 11:10am, 12:40pm (Brawner 443)



Paula Kutansky-Brown has extensive experience with global ethics and compliance. Paula graduated from UCLA with a degree in English Language and Literature, and then went on to earn her JD at USF. Paula has provided legal and ethical counsel for various companies. At Flextronics Paula, managed the global compliance program for a multi-billion dollar company including oversight of the ethics hotline and the Compliance Council and handling of other day-to-day functions of the program. She is now Associate General Counsel at NIO, an innovative electric car company, which aims to stem “positive change today.”

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Lynne Hoang, Director, Employee Relations, Visa

Tues, Feb 21 - 11:10am, 12:40pm (Brawner 443)



Some of Lynne Hoang's duties as the Director of Compliance and Ethics include conducting thorough, timely investigations of violations of law or regulations or the Code of Conduct. She partners with key stakeholders to resolve and address legal or policy violations and complex employee relations issues. Lynne manages the system administration of global compliance hotline available for employees to raise concerns and ask questions. She leads a conflict of interest disclosure program, and has developed appropriate mitigation conditions to protect employees and companies from conflicts of interest. Lynne also prepares and packages quarterly reports of program data and analytics for governing bodies, and maintains the Code of Conduct and related suite of policies, including annual reviews in consultation with legal. Lynne supports education and outreach initiatives, and aligns programs with industry standards through comprehensive research and benchmarking analysis.

Marianne Neuwirth, Director of Oral Communication Programs, Menlo College

Wed, Feb 22 – 6:10pm (Brawner 476)



Marianne develops, designs, and teaches courses and workshops on Conflict Resolution, Persuasion and Negotiation, Public Speaking, Effective use of Powerpoint, International Etiquette, and Business Communication. She founded Menlo College Student Voices Forum, an online platform that features student videos, stories, poems, and visual artistry. She organizes and facilitates open mic storytelling and poetry sessions for students, staff and faculty. Marianne also conducts mock interviews and delivers seminars on effective interviewing and professional written communication. Marianne provides faculty development opportunities and training, including course development, Conflict Resolution Strategies, Team Building, Negotiation, Persuasion and Leadership. She also further engages with students as a Writing Center Consultant, training students in refined writing techniques and implementation.

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Lon Allan, Co-Director, Partner Programs at Rock Center for Corporate Governance, Stanford Law School

Thurs, Feb 23 - 11:10am, 12:40pm (Brawner 443)



“Following a 35-year career as a corporate lawyer in Silicon Valley, Lon Allan now works exclusively as an independent director and/or advisor for both for-profit and non-profit entities...In addition to board service, Mr. Allan has been a lecturer on corporate governance issues at the Haas Business School at UC Berkeley; Stanford Law School; SCU Law School; The Rock Center for Corporate Governance; the National Association of Corporate Directors; the Investor Responsibility Research Center (IRRC); Directors’ Consortium at the University of Chicago; China Education Group, Hong Kong; International Lawyers Meeting, Paris; Shanghai Institute of Foreign Trade, Shanghai; National Taiwan University, Taipei; Lexis/Nexis Singapore; the American Lawyer Magazine Board of Directors Training Program and Stanford Directors’ College. He has also presented lectures on corporate and securities issues at the American Bar Association and the State Bar of California and has had articles published by Prentice-Hall Corporation Service, the California Continuing Education of the Bar and Mathew Bender & Co.”
(Excerpt taken from www.lonallan.com)

Carson Block, President at Carson Block Consulting Inc., Muddy Waters

Wed, March 15 – 6:10pm (Brawner 476)



Carson Block is a short-seller and the founder of Muddy Waters Research. Block is known for alleging fraudulent accounting practices in publicly traded Chinese companies. Carson Block Consulting Inc. is a values-driven company with an understanding that technology is increasingly crucial to fulfilling the public good role of the library. Technology is also integral in delivering library services – both physically and virtually.

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Jessica Beane & Arnetta Lee, Global Integrity Team, Gap Inc.

Thurs, March 16 - 11:10am, 12:40pm (Brawner 443)



Gap Inc. was founded on the principle of doing business responsibly, honestly and ethically. They take corporate compliance very seriously. Their comprehensive corporate compliance program is designed to ensure that all employees and the company's Board of Directors (directors) not only meet legal requirements around the world, but also operate responsibly and with integrity in everything they do.

A combination of written guidelines, formal processes and management oversight helps them ensure that "strong corporate compliance" isn't just words on paper, but a way of doing business at Gap Inc.

Jessica and Arnetta are part of the Global Integrity Committee, a group of senior leaders from various company divisions and functions that focuses on monitoring the effectiveness of and providing input into the company's Code of Business Conduct Compliance Program, as well as compliance-related risks. The company's Chief Compliance Officer chairs this committee.

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Douglas Heske, CEO, Grow Invest

Tues, March 28 - 11:10am, 12:40pm (Brawner 443)

Wed, March 29 – 6:10pm (Brawner 476)



Doug brings more than 22 years of investment management and leadership experience to Grow. Prior to joining Grow, he ran the Private Client business for Stone & Youngberg, LLC. He has served on the Executive Committee of the Securities Industry and Financial Markets Association's (SIFMA) Western District since 1996. In addition, he is a board member of the San Francisco Child Abuse Prevention Center. Doug holds a B.S. degree in finance from Providence College in Providence, Rhode Island and did his postgraduate work at the Daniels School of Business at the University of Denver.

Roxane Marenberg, VP Compliance, Cisco

Thurs, March 30 - 11:10am, 12:40pm (Brawner 443)



As VP, Roxane leads a team to handle the firm's internal audit group, with 250 people dedicated to compliance functions. She is responsible for the design, implementation and oversight of global legal and regulatory compliance. She oversees the Audit Committee providing advice on legal and regulatory risks, compliance related gaps, and administers proactive enterprise risk assessments and implements remediation processes. Her team investigates employee and third party misconduct and financial fraud, financial irregularities, alleged corruption, service abuse fraud, and breaches of Cisco's Code of Business Conduct in protecting Cisco's IP rights, while maintaining the integrity of Cisco's secure supply chain, dismantling counterfeit manufacturing, mitigating counterfeit sales activity, and governing global sales channels.

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Ethics in Action Guest Speaker Series

Michael Repka, VP Compliance, CEO, Real Estate Developer, DeLeon Real Estate

Tues, April 4 - 11:10am, 12:40pm (Brawner 443)

Wed, April 5 – 6:10pm (Brawner 476)



Michael Repka is the Chief Executive Officer and General Counsel of DeLeon Realty. Michael has extensive background in real estate and tax law. Prior to joining DeLeon Realty, Michael was a practicing real estate and estate-planning attorney. After obtaining his first law degree, Michael joined a New Jersey-based law firm, where he counseled clients on the legal, tax and procedural aspects of buying or selling homes. Unlike California, New Jersey residential buyers and sellers routinely engage attorneys to represent them when entering into a real estate transaction. Although Michael cautions agents to represent their clients as REALTORS®, and not as attorneys, his enhanced knowledge and experience provides a level of understanding and competency that consistently proves beneficial to DeLeon Realty clients. Following, Michael worked at PricewaterhouseCoopers, focusing on mergers and acquisitions, and later at Baker & McKenzie, specializing in tax law.

Christine Davis, Forensic Accountant, CPA

Thurs April 6 - 11:10am, 12:40pm (Brawner 443)



As a forensic accountant with nearly 20 years of litigation consulting experience, Christine is retained as a consulting expert or expert witness in litigation matters for which her relevant expertise is required. She provides calculations, analytical insight and expert testimony by deposition and at trial as an accounting, financial or damages expert. Her assignments typically involve calculating economic damages, evaluating a defendant's ability to pay punitive damages, reconstructing business transactions based upon available evidence, evaluating wage-and-hour claims, interpreting internal company documents and financial reports, evaluating claims of accounting malpractice, assessing an entity's compliance with accounting standards including determining if financial statements have been materially misstated. Christine's expertise is further honed by her experience in valuing businesses and investigating allegations of fraud. She also hold an Arbitrator Certificate.

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Andy Hinton, VP and Chief Compliance Officer, Google Inc.

Tues April 25- 11:10am, 12:40pm (Brawner 443)



Andy is the Vice President of Global Ethics and Compliance at Google. As a Google leader, Andy is responsible for the company's efforts to identify and mitigate compliance risk and to nurture and expand upon the company's core value of "Don't be evil." Andy joined Google in 2006. From 2003 to 2006, Andy was the Chief Compliance Officer at two GE Capital financial services businesses. Prior to that experience, Andy was a federal prosecutor in New York City, focusing on white-collar crime. Andy began his litigation associate at the New York City law firm of Cravath, Swaine & Moore, where he focused on commercial litigation and white-collar criminal defense. His educational background includes procuring his J.D. from Fordham School of Law, where he was a member of the *Law Review*, and a 1982 graduate of Harvard University, where he majored in Economics. Living in Palo Alto, Andy enjoys family life with his wife Joy, and two children (Sarah and Eric).